



DT15 Rec'd PCT/P JUN 04 2002

Receipt  
PCT  
#8

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Hirofumi Kanemaki, et al.

Serial No: 09/937,797

Filed: September 28, 2001

Title: AUTHENTICATION APPARATUS,  
AUTHENTICATION SYSTEM, AND  
APPARATUS, COMMUNICATION CONTROL,  
APPARATUS, COMMUNICATION SYSTEM,  
AND METHOD OF SAME, INFORMATION  
STORAGE METHOD AND APPARATUS OF  
SAME, INFORMATION RESTORATION  
METHOD AND APPARATUS OF SAME, AND  
STORAGE MEDIUM OF SAME

Attorney Docket No. 09798423-0007

Group Art Unit No.: 2131

Examiner:

Assistant Commissioner of Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

**RECEIVED**

JUL 03 2002

Technology Center 2100

**COPY OF PAPERS  
ORIGINALLY FILED**

**PETITION FOR CORRECTED FILING RECEIPT UNDER 37 CFR §1.181**

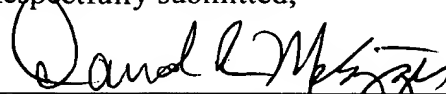
Dear Sir:

This petition seeks to correct the Filing Receipt mailed May 15, 2002, which issued for the above-noted application. The filing receipt incorrectly recites the title of the invention as "Authentication system". The correct title of the invention is --Authentication Apparatus, Authentication System, and Method of Same, Processing Apparatus, Communication Apparatus, Communication Control Apparatus, Communication System, and Method of Same, Information Storage Method and Apparatus of Same, Information Restoration Method and Apparatus of Same, and Storage Medium of Same--. No amendment of the title as filed in the PCT application was requested at the time of filing the present U.S. counterpart application.

Enclosed for correction is a copy of the Filing Receipt indicating the correction to be made. In view of the foregoing remarks and supporting documentation, correction of the Filing Receipt is

requested. The Commissioner is authorized to charge any additional fee or credit any deficiency to Deposit Account No. 19-3140. A duplicate copy of this submission is enclosed for that purpose.

Respectfully submitted,

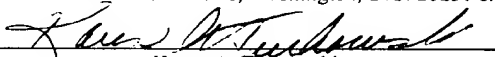


David R. Metzger (Reg. No. 32,919)

SONNENSCHN NATH & ROSENTHAL  
P.O. Box #061080  
Wacker Drive Station, Sears Tower  
Chicago, IL 60606-1080  
(312)876-8000

I hereby certify that this document and any being referred to as attached or enclosed is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on 5/22/02.

Date

  
Karen A. Turkowski



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/937,797	04/15/2002	2131	5198	9798423-0007	76	116	34

Sonnenschein Nath & Rosenthal  
Wacker Drive Station Sears Tower  
PO Box 061080  
Chicago, IL 60606-1080CONFIRMATION NO. 7047  
FILING RECEIPT

\*OC000000008104086\*

Date Mailed: 05/15/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Hirofumi Kanemaki, Kanagawa, JAPAN;  
Yoshihide Nakamura, Tokyo, JAPAN;  
Sei Satake, Kanagawa, JAPAN;  
Makoto Saito, Tokyo, JAPAN;  
Chikara Hashimoto, Kanagawa, JAPAN;COPY OF PAPERS  
ORIGINALLY FILED

RECEIVED

JUL 03 2002

## Domestic Priority data as claimed by applicant

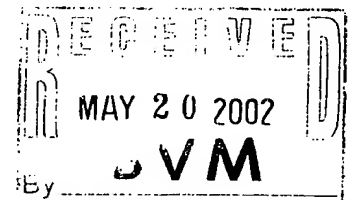
THIS APPLICATION IS A 371 OF PCT/JP01/00772 02/02/2001 Technology Center 2100

## Foreign Applications

JAPAN 2000-24619 02/02/2000  
JAPAN 2000-209674 07/11/2000  
JAPAN 2000-209675 07/11/2000  
JAPAN 2000-234741 08/02/2000  
JAPAN 2000-234752 08/02/2000  
JAPAN 2000-238077 08/07/2000  
JAPAN 2000-370519 12/05/2000  
JAPAN 2000-379361 12/13/2000  
JAPAN 2001-22436 01/30/2001RECEIVED 5/20/02 DOCKET  
DOCKETED Filing Receipt  
DIARIED 5/21/02  
BY: BVM

Projected Publication Date: 08/22/2002

Non-Publication Request: No



Early Publication Request: No

Title

*Authentication Apparatus, Authentication System, and  
Authentication System, Apparatus, Communication Control, Apparatus,  
Communication System, and Method of Same,  
Information Storage Method and Apparatus  
of Same, Information Registration Method and  
Apparatus of Same, and Storage Medium of Same.*

Preliminary Class

713

**LICENSE FOR FOREIGN FILING UNDER**

**Title 35, United States Code, Section 184**

**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).